UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1612

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615 Filed: July 27, 2006

For: TRICYCLIC DERIVATIVES OR

PHARMACEUTICALLY SALTS THEREOF,

THEIR PREPARATIONS AND PHARMACEUTICAL COMPOSITIONS

CONTAINING THEM

Confirmation No.: 6138

AMENDMENT AND RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action dated November 12, 2009, the following amendments and remarks are provided.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

CERTIFICATE

I hereby certify that this correspondence is being EFS-Web or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 6, 2010.

LUCAS & MERCANTI, LLP

BY: /Akiko Sakagami/ Akiko Sakagami